# NOV 2 1 7005 W

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

	) Confirmation No.: 6447
David J. Long, et al.	)
	) Examiner: Ali, Syed J.
Serial No.: 09/853,823	)
·	) Group Art Unit No.: 2195
Filed on: May 11, 2001	)

For: NESTED TRANSACTIONS IN A FILE SYSTEM

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Sir:

M

The Examiner is hereby notified that for the following related application an Office Action has been received as indicated below:

#### DISCLOSURE OF OFFICE ACTION

U.S. Application/	File Date	Office Action	Atty. Docket.
Pat. No.		Mailing Date	No.
10/448,888	5/30/2003	11/1/2005	50277-1749

The related application may contain one or more claims that may be substantially similar to one or more claims in the present application, and those claims may have been rejected in the related application. Therefore, the Examiner is encouraged to review the file history of the related application as some of the information contained therein may be material to the examination of the present application.

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under the following (as indicated by an "X" to the left of the paragraph):

FC:1806

OID 2000-068-01

$\boxtimes$	37 C.F.	R. §1.97(d) and ir	ncludes the following	:
	$\boxtimes$	A statement pur	suant to 37 C.F.R. §1	.97(e)
		information cited in a counterpart person significant interpretable into the counterpart into	on contained in the interpretation from the foreign application, ning the certification formation contained it was known to any incompanies.	ed hereby states that no item of formation disclosure statement was a foreign patent office in a and, to the knowledge of the after making reasonable inquiry, no in the information disclosure dividual designated in §1.56(c) the filing of this information
	$\boxtimes$			under 37 C.F.R. §1.17(i) for Disclosure Statement.
	enclos	sed Information Diner to indicate su	Disclosure Citation Fo	uments be considered and that the arm PTO-1449 be initialed by the a copy thereof returned to
Throu	ighout the	pendency of this	application, please c	harge any additional fees, including
any required	extension	of time fees, and	credit all overpayme	nts to deposit account 50-1302.
			Respectfully subm	nitted,
Dated: Nove	mber <u>17</u>	, 2005	HICKMAN PALI	ERMO TRUONG & BECKER LLP
			Stoycho D. Draga Reg. No. 56,181	Detraudt
2055 Gatewa San Jose, Cal Telephone: (4 Facsimile: (4	lifornia 9 408) 414-	5110-1089 1080		
	in an envelo			Postal Service via first class mail with atents, P.O. Box 1450, Alexandria, VA 22313-
	r printed nar	ne of person mailing co	GEI	
		•		

OID 2000-068-01

2

## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

**ATTY. DOCKET NO.** 50277-1561

**SERIAL NO.** 09/853,823

APPLICANT:

David J. Long et al.

FILING DATE: May 11, 2001

**GROUP:** 2195

	BAPENE	<b>9</b> /	U.	S. PATENT DOCUMENTS		
Exam. Initial*	No.1		nent nd Code <sup>2</sup> (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM/YYYY	Classification
		5,222,242		Choi et al.	6/1993	395/800
		5,327,556		Mohan et al.	7/1994	395/600
		5,457,793		Elko et al.	10/1995	395/600
		5,799,305		Bortvedt et al.	8/1998	707/10
	ļ <u>.</u>	5,870,757		Fuller	2/1999	707/201
		5,937,406		Balabine et al.	8/1999	707/100
		6,173,292	B1	Barber et al.	1/2001	707/200
		6,209,000	B1	Klein et al.	3/2001	707/203
		6,216,212	B1	Challenger et al.	4/2001	711/163

Exam. Cite	For	eign Patent l	Dogument	IGN PATENT DOCUMENTS			
Initial* No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T

	γ	OTHER ART – NO PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		Balter, A., "Alison Balter's Mastering Access 97 Development" Sams Publishing (1997) Chapter 22, Pages 1-4.	

Examiner	The state of the s		
		Date Considered	
Signature		Dute Considered	[

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

### INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)/

**ATTY. DOCKET NO.** 50277-1561

**SERIAL NO.** 09/853,823

APPLICANT:

David J. Long et al.

FILING DATE:

**GROUP:** 

May 11, 2001 2195

Exam. Initial*	Cite No.1	U.S. Patent Doo		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Classification
,,,,,,,,	110.	Number	Kind Code <sup>2</sup> (If known)	V. S. 100 S. 100 N	MM/YYYY	
		6,324,581	B1	Xu et al.	11/2001	709/229
		6,513,056	B1	Copeland et al.	1/2003	709/101
,		6,549,916	B1	Sedlar	4/2003	707/200
		6,697,846	B1	Soltis	2/2004	709/217
· -						
						<u> </u>

Exam.	Cite	Fo	reign Patent	Document	Name of Patentee or Applicant	Date of	Pages,	$T^{o}$
Initial*	No. <sup>1</sup>	Office <sup>3</sup>	Number⁴	Kind Code <sup>5</sup> (If known)	of Cited Document	Publication of Cited Document MM-DD-YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

		OTHER ART – NO PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		"Mapping A Relational Database to a Hierarchical File System" IBM (1995) 3 pages	

Examiner	Date Considered	
Signature		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.